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DATE MAILED: 05/07/2009

#### NOTICE OF ALLOWANCE AND FEE(S) DUE

58404	7590	05/07/2009	EXAMINER		
BARRY W. CHAPIN		SHIN, KYUNG H	SHIN, KYUNG H		
CHAPIN IN	TELLECTU	AL PROPERTY LAW, LLC	ART UNIT PA	PER NUMBER	
	OUGH OFFI		2443		
1700 WEST	PARK DRI	VE. SUITE 280			

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/750,334	12/31/2003	Stanislay Sosnovsky	EMC03-35(03166)	4603

TITLE OF INVENTION: METHODS AND APPARATUS FOR PROVIDING EXTENSIBLE LIGHTWEIGHT SERVICES IN A DATA STORAGE ENVIRONMENT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$0	\$1510	08/07/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT ARAIT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1313 AND MPPE 132.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 1SI. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

WESTBOROUGH, MA 01581

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If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

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B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and I/2 the ISSUE FIEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

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APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	A	TTORNEY DOCKET NO.	CONFIRMATION NO.
10/750,334 TITLE OF INVENTION ENVIRONMENT	12/31/2003 N: METHODS AND A	PPARATUS FOR PRO	Stanislav Sosnovsky VIDING EXTENSIBLE	LIGHTWEIGHT SE	EMC03-35(03166) RVICES IN A DATA S	4693 TORAGE
APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE F	EE TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$0	\$1510	08/07/2009
EXAM	INER	ART UNIT	CLASS-SUBCLASS			
SHIN, KY	YUNG H	2443	709-223000	•		
"Fee Address" indi PTO/SB/47; Rev 03-0 Number is required.  3. ASSIGNEE NAME A	ondence address (or Cha 3/122) attached. ication (or "Fee Address 2 or more recent) attach	nge of Correspondence  'Indication form ed. Use of a Customer A TO BE PRINTED ON 2				
PLEASE NOTE: One recordation as set forth (A) NAME OF ASSIC	GNEE		(B) RESIDENCE: (CITY	and STATE OR CO	UNTRY)	oup entity  Government
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	s SMALL ENTITY state	s. See 37 CFR 1.27.			ENTITY status. Sec 37 C	
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This collection of informan application. Confident submitting the completed this form and/or suggestit Box 1450, Alexandria, V Alexandria, Virginia 223	ation is required by 37 C tiality is governed by 35 I application form to the ons for reducing this but irginia 22313-1450. DC 13-1450.	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary den, should be sent to the ONOT SEND FEES OR (	on is required to obtain or r 1.14. This collection is est depending upon the indiv e Chief Information Office COMPLETED FORMS TO	etain a benefit by the imated to take 12 min idual case. Any com- r, U.S. Patent and Tr D THIS ADDRESS. S	public which is to file (an nutes to complete, includin ments on the amount of ti ademark Office, U.S. Dep SEND TO: Commissioner	d by the USPTO to process) ag gathering, preparing, and me you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450,

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58404 7590	05/07/2009	EXAMINER		
BARRY W. CHAF	PIN	SHIN, KYUNG H		
CHAPIN INTELLEG		ART UNIT	PAPER NUMBER	
WESTBOROUGH C 1700 WEST PARK I		2443 DATE MAILED: 05/07/200		

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1340 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1340 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

## Application No. Applicant(s) 10/750 334 SOSNOVSKY ET AL. Notice of Allowability Examiner Art Unit 2443 Kyuna Hye Shin -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. This communication is responsive to 1-30-09. 2. The allowed claim(s) is/are 1 - 9, 11 - 17, 21 - 32, 34, 36 - 40. 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) $\square$ All b) ☐ Some\* c) ☐ None of the: 1. T Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). \* Certified copies not received: \_\_\_\_\_. Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1. | Notice of References Cited (PTO-892) 5. Notice of Informal Patent Application 2. Notice of Draftperson's Patent Drawing Review (PTO-948) Interview Summary (PTO-413), Paper No./Mail Date Information Disclosure Statements (PTO/SB/08). 7. X Examiner's Amendment/Comment Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit 8. X Examiner's Statement of Reasons for Allowance of Biological Material

9. ☐ Other .

/J Bret Dennison/ Primary Examiner, Art Unit 2443 Application/Control Number: 10/750,334 Page 2

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## DETAILED ACTION

1. Claims 1 - 9, 11 - 17, 21 - 32, 34, 36 - 40 are pending.

#### EXAMINER'S AMENDMENT

2. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Christopher J. Lutz, Esq. (Registration No.: 44,883) on 4/20/2009.

3. The application has been amended as follows:

Amended independent claims are 1, 15, 21, 34, 36, 40

(Currently Amended) A method for interprocess communication in a managed information architecture comprising:

identifying a module server stored in memory;

receiving a registration from a service entity in the memory in the managed information architecture, the registration indicative of a significant occurrence in the managed information architecture and the service entity responsive to the significant occurrence:

establishing a persistent association of the service entity and the significant occurrence in response to the registration, the persistent association providing a registered service entity, further including storing, in a global association table, an indication of the significant occurrence and an indication of the module containing the service entity, the global association table persistently independent of

enablement of the module including the service entity corresponding to the significant occurrence;

receiving a notification <u>message</u> indicative of the significant occurrence in the managed information architecture;

identifying, via the persistent association, the corresponding registered service entity responsive to the significant occurrence, the persistent association independent of enabling and disabling of the service entity;

enabling, if the identified registered service entity is disabled, a module including the service entity to activate the service entity; and

invoking, via the persistent association, the service entity responsive to the significant occurrence, the persistent association being defined by a set of tables including the association, the set of tables for traversing the published significant occurrence from the detecting class entity to the service entity to be invoked as a result of the significant occurrence, at least one of the set of tables being a persistent table, the persistent table remaining active beyond the activation of the service entity.

# 15. (Original) A method for invocation of subscribers comprising:

receiving a subscription associative of a service entity and a significant occurrence, the service entity having instructions operative for executing and completing a particular task upon an indication of the significant occurrence;

associating the significant occurrence with the service entity, the association including a generic reference applicable to a plurality of service entities, the association further operable to selectively enable a module including the service entity upon the significant occurrence, the association further being a persistent association independent of enabling and disabling of the service entity; storing, in a global association table, an indication of the significant occurrence and an indication of the module containing the service entity, the global association table

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persistently independent of enablement of the module including the service entity corresponding to the significant occurrence;

receiving the indication of the significant occurrence;

determining, via the association, the corresponding service entity and the module including the service entity;

selectively enabling the module including the service entity to activate the service entity; and

dispatching the service entity to execute and complete the time based task, the persistent association being defined by a set of tables including the association, the set of tables for traversing the published significant occurrence from the detecting class entity to the service entity to be invoked as a result of the significant occurrence, at least one of the set of tables being a persistent table, the persistent table remaining active beyond the activation of the service entity.

21. (Original) A services architecture for interprocess communication in a managed information system comprising:

a module server <u>stored in memory</u> operable to receive a registration from a service entity in the managed information system, the registration indicative of a significant occurrence in the managed information system and the service entity responsive to the significant occurrence;

a service provider in the module server operable to establish a persistent association of the service entity and the significant occurrence in response to the registration, the persistent association independent of the enablement of the service entity, the persistent association providing a registered service entity for the service provided by the service entity, the persistent association independent of enabling and disabling of the service entity;

an association mapping for storing the persistent association and adapted to map a received notification indicative of the significant occurrence in the managed information system, the service operable to identify, via the persistent association,

the corresponding registered service entity responsive to the significant occurrence, the association mapping including a global association table, having an indication of the significant occurrence and an indication of the module containing the service entity, the global association table persistently independent of enablement of the module including the service entity corresponding to the significant occurrence; and an activation manager operable to enable, if the identified registered service entity is disabled, a module including the service entity to activate the service entity, the module server operable to invoke, via the persistent association, the service

the module server operable to invoke, via the persistent association, the service entity responsive to the significant occurrence, the persistent association being defined by a set of tables including the association, the set of tables for traversing the published significant occurrence from the detecting class entity to the service entity to be invoked as a result of the significant occurrence, at least one of the set of tables being a persistent table, the persistent table remaining active beyond the activation of the service entity.

34. (Currently Amended) A computer program product having a computer readable storage medium operable to store computer program logic embodied in computer program code encoded thereon that, when executed by a processor responsive to instructions in the computer program code case the computer to perform a method for interprocess communication in a managed information architecture, the method comprising:

receiving a registration from a service entity in the managed information architecture, the registration indicative of a significant occurrence in the managed information architecture and the service entity responsive to the significant occurrence:

establishing a persistent association of the service entity and the significant occurrence in response to the registration, the persistent association independent of the <u>enabling and disabling</u> of the service entity, the persistent association providing a registered service entity, further including storing, in a global association table, an

indication of the significant occurrence and an indication of the module containing the service entity, the global association table persistently independent of enablement of the module including the service entity corresponding to the significant occurrence;

receiving a notification indicative of the significant occurrence in the managed information architecture;

identifying, via the persistent association, the corresponding registered service entity responsive to the significant occurrence;

enabling, if the identified registered service entity is disabled, a module including the service entity to activate the service entity; and

invoking, via the persistent association, the service entity responsive to the significant occurrence, the persistent association being defined by a set of tables including the association, the set of tables for traversing the published significant occurrence from the detecting class entity to the service entity to be invoked as a result of the significant occurrence, at least one of the set of tables being a persistent table, the persistent table remaining active beyond the activation of the service entity.

36. (Currently Amended) A services architecture for interprocess communication in a managed information architecture comprising:

a module server stored in memory;

means for receiving a registration from a service entity in the managed information architecture, the registration indicative of a significant occurrence in the managed information architecture and the service entity responsive to the significant occurrence:

means for establishing a persistent association of the service entity and the significant occurrence in response to the registration, the persistent association independent of the enablement of the service entity and independent of enabling

and disabling of the service entity, the persistent association providing a registered service entity, further including means for storing, in a global association table, an indication of the significant occurrence and an indication of the module containing the service entity, the global association table persistently independent of enablement of a module including the service entity corresponding to the significant occurrence;

means for receiving a notification indicative of the significant occurrence in the managed information architecture;

means for identifying, via the persistent association, the corresponding registered service entity responsive to the significant occurrence;

means for enabling, if the identified registered service entity is disabled, a module including the service entity to activate the service entity; and

means for invoking, via the persistent association, the service entity responsive to the significant occurrence, the persistent association being defined by a set of tables including the association, the set of tables for traversing the published significant occurrence from the detecting class entity to the service entity to be invoked as a result of the significant occurrence, at least one of the set of tables being a persistent table, the persistent table remaining active beyond the activation of the service entity.

40. (New) A method for interprocess communication in a managed information architecture comprising:

receiving a registration from a service entity in the managed information architecture, the registration indicative of a significant occurrence in the managed information architecture and the service entity responsive to the significant occurrence:

establishing a persistent association of the service entity and the significant occurrence in response to the registration, the persistent association independent of the <u>enabling and disabling</u> of the service entity, the persistent association providing a registered service entity;

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receiving a notification message indicative of the significant occurrence in the managed information architecture;

identifying, via the persistent association, the corresponding registered service entity responsive to the significant occurrence;

enabling, if the identified registered service entity is disabled, a module in the module server including the service entity, wherein the service entities are handlers corresponding to executable methods and the indication messages are events propagated by an invocation mechanism as a result of the significant occurrence service entity; and

invoking, via the persistent association, the service entity responsive to the significant occurrence, invoking further comprising:

identifying associated data indicative of the significant occurrence; assembling an invocation call, the invocation call including a reference to the service entity and a reference to the identified associated data; and executing the referenced service entity in the context of the referenced associated data to activate the service entity, executing further comprising a dispatch command, the dispatch command specific to the handler responsive to the significant occurrence and operative to enqueue multiple invocations to the same service entity, the persistent association being defined by a set of lables including the association, the set of tables for traversing the published significant occurrence from the detecting class entity to the service entity to be invoked as a result of the significant occurrence, at least one of the set of tables being a persistent table, the persistent table remaining active beyond the activation of the service entity.

# Allowable Subject Matter

4. The following is an examiner's statement of reasons for allowance:

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Claims 1, 15, 21, 34, 36, 40 are allowed based on the following:

Prior art of record considered individually or in combination, fails to fairly show or suggest further including storing, in a global association table, an indication of the significant occurrence of an indication of the module containing the service entity, the global association table persistently independent of enablement of the mode including the service entity corresponding to the significant occurrence:

#### and specifically that:

- disabling the module including the service entity; and selectively enabling, in response to the significant occurrence, the module including the service entity, wherein the persistent association is independent of enabling and disabling of the service entity;
- the received registration employs a genericizing reference for identifying the service entity, the genericizing reference operable to avoid extraneous references and further operable for registration of a plurality of service entities, each of the service entities independent of references of other of the plurality of service entities;
- the persistent association is defined by a set of tables including the
  association, the set of tables for traversing the published significant
  occurrence from the detecting class entity to the service entity to be invoked
  as a result of the significant occurrence, at least one of the set of tables being
  a persistent table, the persistent table remaining active beyond the activation
  of the service entity:

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and in addition to the other limitations in the specific manner as recited in claims 1 - 9, 11 - 17, 21 - 32, 34, 36 - 40.

Claims 2 - 9, 11 - 14 are allowed due to allowed base claim 1.

Claims 16, 17 are allowed due to allowed base claim 15.

Claims 22 - 32 are allowed due to allowed base claim 21.

Claims 37 - 39 are allowed due to allowed base claim 36.

So as indicated by the above statements, Applicant's arguments have been considered persuasive, in light of the set of claims with limitations as well as the enabling portions of the specification. The dependent claims further limit the independent claims and are considered allowable on the same basis as the independent claims as well as for the further limitations set forth.

5. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

#### \_Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kyung Hye Shin whose telephone number is (571)272-3920. The examiner can normally be reached on 9:30 am - 6 pm.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tonia L. Dollinger can be reached on (571) 272-4170. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Kyung Hye Shin Examiner Art Unit 2443

KHS 4/21/09

/J Bret Dennison/

Primary Examiner, Art Unit 2443